

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jesse Wolfe et al Attorney Docket No. : IL-11072

Serial No. : 10693556 Art Unit:

Filed: 8/18/2003 Examiner:

For : Process and Apparatus for Pulsed DC Magnetron Reactive Sputtering

of Thin Film coatings on Large Substrates Using Smaller Sputter

Cathodes

Commissioner for Patents Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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- 1. Information Disclosure Statement (2 page)
- 2. Form PTO-1449 Six (6) US Patents; Eight (8) Non Patent Literature Documents
- 3. Return Postcard

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jesse Wolfe et al Docket No. IL-11072

Customer No: 24981

Serial No. : Art Unit :

Filed : 8/18/2003 Examiner :

For : Process and Apparatus for Pulsed DC Magnetron

Reactive Sputtering of Thin Film Coatings on Large

Substrates Using Smaller Sputter Cathodes

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Forwarded herewith is an Information Disclosure Statement, Form-1449, in the above-identified application. Copy of the cited reference is enclosed.

Respectfully submitted,

James S. Tak

Agent for Applicants Registration No. 46,367

Dated: 9-9-03

Enclosure:

As noted above

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	Application Number			
INFORMATION DISCLOSURE	Filing Date	8/18/2003		
STATEMENT BY APPLICANT	First Named Inventor	Jesse Wolfe et al		
(use as many sheets as necessary)	Group Art Unit			
	Examiner Name			
Sheet 1 of 2	Attorney Docket Number	IL-11072		

		7414. is 2	ι	J.S. PATENT DOCUME	ENTS		
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Date of Publicate Cited Docur of Cited Document MM-DD-YY		nent Where Relevant Passages of	
		5798027		Lefebvre et al	8/25/1998		
		5702573		Biberger et al	12/30/1997		
		5225057		Lefebvre et al	7/6/1993		
		5346600		Nieh et al	7/13/1994		
		5618388		Seeser et al	4/8/1997	•	
		5851365		Michael Scobey	12/22/1998		
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)

Complete if Known Application Number 8/18/2003 Filing Date First Named Inventor Jesse Wolfe et al **Group Art Unit Examiner Name**

Charal 2	1 -6 1 3		Examiner Name			
Sheet 2	of 2		Attorney Docket N	umber IL-11072		
		OTHER PRIOR	ART - NON PATENT LITERATURE	DOCUMENTS		
Examiner Cite No.1			or (in CAPITAL LETTERS), title of the article symposium, catalog, etc.), date, page(s), vo country where published.	e (when appropriate), title of the item (book, lume-issue number(s), publisher, city and/or		
		Broughton J.N. et al	Long Throw Sputter Deposition of Titanium at Low Pressures	1995 VMIC Conference June 27-29 1995 ISMIC – 104/95/0201 (pages 201- 203)		
		Rossnagel S. M. et al	Sputter Deposition for Semiconductor Manufacturing	IBM Journal of Research and Development Volume 43, ½ 1999 Plasma Processing (pages 1-14)		
		Nam-Woong Paik et al	The characterization of a New Plasma-Magnetron-Sputter-Type Negative Ion Source	Presented to the Journal of Applied Physics – Pre-print of a journal (4 pages)		
		Bradley J.W. et al	Time-Resolved Langmuir Probe Measurements at the Substrate Position in a Pulsed Mid-frequency DC Magnetron Plasma	Elsevier Surface & Coatings Technology 135 2001 (pages 221-228)		
		L.B. Jonsson et al	Frequency response in pulsed DC reactive sputtering processes	Elsevier Thin Solid Films 365 (2000) 43-48		
		A.A. Mayo et al	Across-wafer nonuniformity of long throw sputter deposition	American Vacuum Society J. Vac Sci Technol. B 15(5) Sep/Oct 1997		
		A. Belkind et al	Electrical Dynamics of Pulsed Plasmas	1998 Society of Vacuum Coaters 505 pages 321-326		
		D. Carter et al	Parameter Optimization in Pulsed DC Reactive Sputter Deposition of Aluminum Oxide	2002 Society of Vacuum Coaters 45 th Annual Technical Conference Proceedings pages 570-577		
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